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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: David M. GOLDENBERG

Title: IMMUNOTHERAPY OF  
MALIGNANT AND AUTOIMMUNE  
DISORDERS IN DOMESTIC  
ANIMALS USING NAKED  
ANTIBODIES,  
IMMUNOCONJUGATES AND  
FUSION PROTEINS



Appl. No.: 09/921,290

Filing Date: 08/03/2001

Examiner: A. Harris

Art Unit: 1632

**TRANSMITTAL OF MISSING PARTS  
OF PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on September 17, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

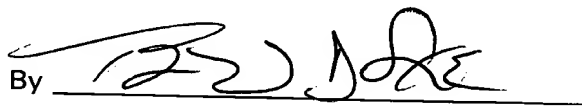
- ☒ [ X ] Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
- ☒ [ X ] Declaration and Power of Attorney (3 pages)
- ☒ [ X ] Check in the amount of \$687.00 in payment of \$370.00 Official Government Filing Fee, \$252.00 for 28 dependent claims in excess of 20 and \$65.00 surcharge fee (37 C.F.R. § 1.16(e))
- ☒ [ X ] Applicant Claims Small Entity Status

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date: October 10, 2001

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By 

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/921,290	08/03/2001	David M. Goldenberg	018733-1012

CONFIRMATION NO. 5316

## FORMALITIES LETTER



\*OC000000006543715\*

Bernhard D. Saxe  
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3000 K Street, N.W., Suite 500  
Washington, DC 20007-5109

Date Mailed: 09/17/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$504.  
    ▪ \$504 for 28 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1344.**

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/12/2001 NMCHMM1 00000075 09921290  
01 FC:201 370.00 OP  
02 FC:205 65.00 OP  
03 FC:203 252.00 OP